

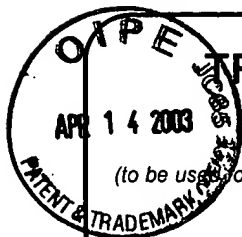
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/902,577
Filing Date	July 12, 2001
First Named Inventor	Lloyd Clarke
Group Art Unit	2162
Examiner Name	TBD
Total Number of Pages in This Submission	63
Attorney Docket Number	14560.0004

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Statement Claiming Small Entity Status <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): International Search Report; PTO Form 1449 and 3 references
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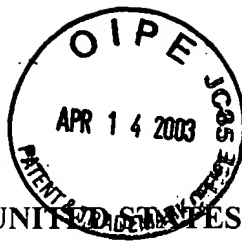
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Chadwick A. Jackson, Reg. No. 46,495
Signature	
Date	April 14, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lloyd Clarke

Application No. 09/902,577

Filed: July 12, 2001

For: System and Method for Managing Transportation Demand and Capacity

Art Unit: 2162

Examiner: TBD

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INFORMATION DISCLOSURE STATEMENT

Box IDS
Commissioner for Patents
Washington, D.C. 20231

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

☐ U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

☐ A concise explanation of the relevance of the non-English language references is attached:

☒ The relevance of the references is indicated on the enclosed copy of the International Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

☒ before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

☐ The Commissioner is hereby authorized to charge Deposit Account 19-5127, in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).

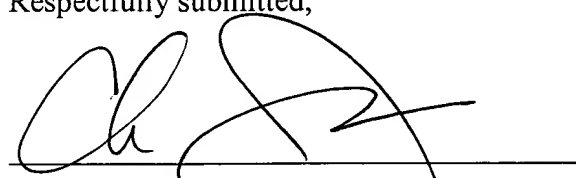
☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127.

Respectfully submitted,

Date: April 14, 2003



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FORM PTO-1449

Attorney Docket:

Application No.:

14560.0004

09/902,577

INFORMATION DISCLOSURE CITATION

Applicant:

Examiner:

Lloyd Clarke

TBD

Filing Date:

Group Art Unit:

July 12, 2001

161

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U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No	Document Number	Date	Name	Class	Sub-Class	Filing Date
		6,076,067	June 13, 2000	Jacobs et al.			
		6,035,277	March 7, 2000	Anbil et al.			
		5,440,675	Aug. 8, 1995	Matsunaga et al.			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes/No

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

Examiner	Date Considered
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Examiner: Initial if reference consider, whether or not citation is in conformance with MPEP §609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Application